APPENDIX E. SURVEY QUESTIONNAIRE

FORM **SDR-1** (4-18-97)

OMB No.: 3145-0020

Approval Expires: 04/30/99



1997 Survey of Doctorate Recipients

This information is solicited under the authority of the National Science Foundation Act of 1950, as amended. All information you provide will be treated as confidential and used only for research or statistical purposes by the survey sponsors, their contractors, and collaborating researchers for the purpose of analyzing data and preparing scientific reports and articles. Any information publicly released (such as statistical summaries) will be in a form that does not personally identify you. Your response is voluntary and failure to provide some or all of the requested information will not in any way adversely affect you. Actual time to complete the questionnaire may vary depending on your circumstances. On the average, it will take about 25 minutes to complete the questionnaire. If you have any comments on the time required for this survey, please send them to Herman Fleming, Division of Contracts, Policy and Oversight, National Science Foundation, 4201 Wilson Boulevard, Arlington, VA 22230. An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. The OMB number for this project is 3145-0020.

Conducted by:
National Opinion Research Center
Chicago, IL

Conducted for: the National Science Foundation Arlington, VA

INSTRUCTIONS

Thank you for taking the time to complete this questionnaire. Directions for filling it out are provided with each question. Because not all questions will apply to everyone, you may be asked to skip certain questions.

- In order to get comparable data, we will be asking you to refer to the week of April 15, 1997 (e.g., April 13-April 19, 1997) when answering most questions
- Follow all "SKIP" instructions AFTER marking a box. If no "SKIP" instruction is provided, you should continue to the NEXT question
- Either a pen or pencil may be used
- When answering questions that require marking a box, please use an "X"
- If you need to change an answer, please make sure that your old answer is either completely erased or clearly crossed out

Thanks again for your help, we really appreciate it.

	PART A - Employment Status During the Reference Week of April 13-19, 1997	A4.	Prior to the week of April 15, 1997, when did you last work for pay (or profit)?
A1.	Were you working for pay (or profit) during		0 - MARK (X) THIS BOX IF NEVER WORKED FOR PAY (OR PROFIT) AND SKIP TO PART D, PAGE 13
	the week of April 15, 1997? This includes a postdoctoral appointment, being self-employed		Month Year
	or temporarily absent from a job (e.g., illness, vacation or parental leave), even if unpaid.		LAST WORKED 19
	1 Yes → <i>SKIP to A7, page 2</i>		
	- 2 □ No		
A2.	(IF NO) Did you look for work during the four weeks preceding April 15, 1997 (that is, anytime between March 19 and April 15, 1997)?	A5.	What kind of work were you doing on this last jobthat is, what was your occupation? Please be as specific as possible, including any area of specialization
	₁ ☐ Yes		EXAMPLE: College professor - Electrical engineering
	₂ No		
А3.	What were your reasons for not working during the week of April 15?		
	Mark (X) all that apply Year Retired		
	₁ ☐ Retired → 19		
	₂ On layoff from a job		
	₃ ☐ Student		
	₄ ☐ Family responsibilities		
		A6.	Using the JOB CODES LIST (pages 20-21), choose the code that BEST describes the work you were doing on this last job.
	₆ ☐ Suitable job not available		
	⁷ Did not need or want to work		CODE ⇒ SKIP to A53, page 9
	8 Other - Specify		NOTE - Job codes range from 010 to 500

A7.	(IF WORKED DURING WEEK OF APRIL 15TH) Counting all jobs held during the week of April 15, 1997, did you USUALLY work		ne next several questions ask about your principal nployer during the week of April 15, 1997.
A8.	A total of 35 or more hours per week → SKIP to A10 Fewer than 35 hours per week (IF FEWER THAN 35 HOURS) During the week of April 15, did you want to work a full-time work week of 35 or more hours?	A11.	Who was your principal employer during the week of April 15, 1997? IF MORE THAN ONE JOB: Record employer for whom you worked the most hours that week IF EMPLOYER HAD MORE THAN ONE LOCATION: Record location where you usually worked
	Yes No		Employer Name City/Town State/Foreign Country
A9.	What were your reasons for working a part-time work week (i.e., less than 35 hours) during the week of April 15? Mark (X) all that apply		ZIP Code
	Year Retired Retired 19 or semi-retired Student Family responsibilities Chronic illness or permanent disability Suitable full-time work week job not available Did not need or want to work full-time Other - Specify	A12.	Thinking about your employer's main business (i.e., what your employer makes or does), under which of these categories does your employer's main business BEST fit? IF PRINCIPAL EMPLOYER HAS MORE THAN ONE TYPE OF BUSINESS: Please answer for the type of business primarily performed at the location where you work Mark (X) ONLY one 1
A10.	(IF 35 OR MORE HOURS) Although you were working during the week of April 15, had you previously RETIRED from any position? Examples of retirement include mandatory retirement, early retirement, or voluntary retirement Year Retired Yes No		9 ☐ Manufacturing 10 ☐ Public administration/government 11 ☐ Research - Specify 12 ☐ Transportation services, utilities or communications 13 ☐ Wholesale or retail trade 14 ☐ Other

A13.	Counting all locations where this employer operates, how many people work for your principal employer? Your best estimate is	A16.	Was your principal employer an educational institution?
	fine.		-₁ ☐ Yes
	Mark (X) ONLY one		2 No → SKIP to A20, page 4
	₁ ☐ Under 10 employees		
	₂		
	₃	\	:
	₄	A17.	(IF EDUCATIONAL INSTITUTION) Was this
	₅ 🗌 500 - 999 employees		educational institution a
	₅		Mark (X) ONLY one
	₇		Preschool, elementary, or SKIP to A20, middle school or system page 4
			₂ ☐ Secondary school or system
A14.	Did your principal employer come into being as a new business within the past 5 years?		Two-year college, community college, technical institute
	1 ☐ Yes		Four-year college or university, other than a medical school
	₂ □ No		 Medical school (including university- affiliated hospital or medical center)
			$_{6}$ University-affiliated research institute
			₇ ☐ Something else - Specify —
A15.	Was your principal employer during the week of April 15		
	IF EMPLOYER WAS A SCHOOL: Mark (X) the type of organizational charter (e.g., mark "state government" for state schools; most private schools are "private not-for-profit")		
	Mark (X) ONLY one	A18.	What was your faculty rank?
	A PRIVATE FOR-PROFIT company, business or individual, working for wages, salary or	1	Mark (X) ONLY one
	commissions		₁ Not applicable at this institution
	2 A PRIVATE NOT-FOR-PROFIT, tax-exempt, or charitable organization		Not applicable for my position
	3 SELF-EMPLOYED in own NOT INCORPORATED business, professional practice, or farm		3 Professor4 Associate Professor
	↓ □ SELF-EMPLOYED in own INCORPORATED business, professional practice, or farm		₅ ☐ Assistant Professor
	₅ ☐ Local GOVERNMENT (e.g., city, county)		₆ ☐ Instructor ₇ ☐ Lecturer
	6 ☐ State GOVERNMENT		
	⁷ ☐ U.S. military service, active duty or Commissioned Corps (e.g., USPHS, NOAA)		9 ☐ Other - Specify ⊋
	₈ ☐ U.S. GOVERNMENT (e.g., civilian employee)		
	9 ☐ Other - Specify		

A19.	What was your tenure status?	A21.	Did you answer "yes" to any of the categories above?
	Mark (X) ONLY one		
	Not applicable: no tenure system at this institution		_ 1 ☐ Yes _ 2 ☐ No → SKIP to A24, page 5
	Not applicable: no tenure system for my position		
	₃ ☐ Tenured		
	₄ ☐ On tenure track but not tenured	*	
	₅ Not on tenure track	A22.	(IF YES) What were your reasons for having an alternative or temporary work arrangement during the week of April 15?
	he next several questions ask about some		For this study, being self-employed is considered an alternative working relationship
a ti	Iternative or temporary working relationships nat people <i>may</i> have with their employers.		Mark (X) Yes or No for each YES NO
			1. Schedule flexibility
			2. Only type of work you could find 1 2 2
A20.	Did any of the following apply to your relationship with your principal employer during the week of April 15, 1997?		 3. Gain experience that may lead to a permanent job
	Mark (X) Yes or No for each YES NO		5. Family-related reasons (e.g., children,
	Self-employed working as an independent contractor, independent consultant, free lance worker		spouse's job moved)
	or otherwise self-employed		7. Enjoy being your own boss
	2. Your principal employer contracted		8. Employer changed your status to temporary 1 2
	out your services to other organizations (not including temporary help or employment agencies)		9. Other reason - Specify
	3. Working through a temporary help or employment agency ₁ □ ₂ □		1 2
	4. Working on an "as needed", "seasonal" or short term basis ₁ □ ₂ □		
	5. Job sharing 1 2	A23.	Which factors in A22 represent your two main reasons for holding alternative or temporary
	6. Working from home for 50 percent or more of your work time		employment or being self-employed? Enter the number of the appropriate reason from A22 above
	7. Something else - Specify		1 First reason
	1 2		2 Second reason (Enter "0" if no second reason)

A24.	If you could have any type of working relationship you wanted, would your first choice be Mark (X) ONLY one 1 A permanent job (either full-time or part-time), that is a job with no set end date 2 Being self-employed 3 Some other type of working relationship - Specify	A27.	Using the JOB CODES LIST (pages 20-21), choose the code that BEST describes the work you were doing on your principal job during the week of April 15. CODE NOTE - Job codes range from 010 to 500
A25.	Concerning your principal job during the week of April 15, were any of the following benefits available to you, even if you chose not to take them?	A28.	Did you record job code "141" (manager, executive, or administrator) in A27?
	 Mark (X) Yes or No for each 1. Health insurance that was at least partially paid by your employer?	A29 .	(IF YES) Did your duties on this job require the
	4. Tala vacation, sick of personal days: . The 2-		technical expertise of a bachelor's degree or higher in Mark (X) Yes or No for each YES NO
	ne next set of questions asks about your work on our principal job during the week of April 15, 1997.		1. Engineering, computer science, math, or the natural sciences
A26.	What kind of work were you doing on your principal job held during the week of April 15, 1997—that is, what was your occupation? Please be as specific as possible, including any area of specialization EXAMPLE: College professor - Electrical engineering		 The social sciences
			1 2

A30.	Was this job a "postdoc?" A "postdoc" is a temporary position awarded in	A34.	As of the week of April 15, were you licensed or certified in your occupation? Do NOT include academic degrees (e.g., BA, MA, PhD)
	academe, industry, or government primarily for		
	gaining additional education and training in research		1 Yes
	70004.6/1		₂ No
_	-₁□ Yes		
	2 No → SKIP to A33	A35.	Thinking about the relationship between your work and your education, to what extent was your work on your principal job held during the week of April 15 related to your (first U.S.) doctoral degree? Was it
A31.	What were your reasons for taking this postdoc?		Mark (X) ONLY one 1 ☐ Closely related ☐ SKID to A28, page 7
	Mark (X) Yes or No for each YES NO ↓ ↓		Somewhat related SKIP to A38, page 7 Not related
	1. Additional training in PhD field ₁ □ ₂ □		
	2. Training in an area outside of PhD field	↓ A36.	(IF NOT RELATED) Did these factors influence
	3. Work with a specific person or place		your decision to work in an area OUTSIDE THE FIELD OF YOUR (FIRST U.S.) DOCTORAL
	4. Other employment not available ₁ □ ₂ □		DEGREE?
	5. Postdoc generally expected for career in this field		Mark (X) Yes or No for each YES NO
	6. Some other reason - Specify		1. Pay, promotion opportunities 1 2
	1 2		2. Working conditions (e.g., hours, equipment, working environment) 1
			3. Job location 1 2
			4. Change in career or professional
822	What were ways two MOST important reasons for		interests
A32.	What were your two MOST important reasons for taking this postdoc? Enter number of appropriate reason from A31		5. Family-related reasons (e.g., children, spouse's job moved)
			6. Job in highest degree field not
	1 MOST important reason		available
	i Woot important reason		7. Other reason - Specify
	2 SECOND MOST important reason		<i>•</i>
	(Enter "0" if no second reason)		1 2
		A37.	Which TWO factors in A36 represent your MOST important reasons for working in an area outside
A33.	During what month and year did you start this job, (that is, your principal job held during the week of April 15, 1997)?		the field of your (first U.S.) doctoral degree? Enter number of appropriate reason from A36 above
			1 MOST important reason
	Month Year		
	JOB STARTED 19		2 SECOND MOST important reason (Enter "0" if no second most)

A38.	The next question is about your work activities on your principal job. Which of the following work activities occupied 10 percent or more of your time during a TYPICAL work week on this job?	A40.	highest degree, would you say your work during a TYPICAL week on this principal job is
	Mark (X) Yes or No for each YES	10	Mark (X) ONLY one ₁ ☐ Very similar to what you expected
	1. Accounting, finance, contracts 1 2		to be doing
	 Applied research - study directed toward gaining scientific knowledge to meet a recognized need 1		 Somewhat similar to what you expected to be doing Not very similar to what you
	 Basic research - study directed toward gaining scientific knowledge primarily for its own sake		expected to be doing
	4. Computer applications, programming, systems development		
	 Development - using knowledge gained from research for the production of materials, devices 1 2 		
	6. Design of equipment, processes, structures, models	□ A41.	Did you supervise the work of others as part
	7. Employee relations - including recruiting, personnel development, training	П	of your principal job held during the week of April 15?
	8. Managing and supervising		MARK "YES": If you assigned duties to workers AND recommended or initiated personnel actions such
	9. Production, operations, maintenance (e.g., truck driving, machine tooling, auto/machine repairing)		as hiring, firing or promoting TEACHERS: Do NOT count students
	10. Professional services (e.g., health care, counseling, financial services, legal services)		1
	11. Sales, purchasing, marketing, customer service, public relations 1 2		
	12. Quality or productivity management 1 🗆 2		
	13. Teaching 1 2		1
	14. Other - Specify	_ *	
	1 2	A42.	(IF YES) How many people did you typically
A39.	On which TWO activities in A38, did you work to MOST hours during a typical week on this job? Enter number of appropriate activity from A38 above.		IF NONE: Enter "0" Number Supervised 1. Supervise DIRECTLY?
	1. Activity MOST hours		2. Supervise through subordinate supervisors?
	Activity SECOND MOST hours (Enter "0" if no second most)		

A43.	Before deductions, what was your basic ANNUAL salary on this job as of the week of April 15, 1997? (Do NOT include bonuses, overtime, or additional	A47.	(IF YES) Which Federal agencies or departments were supporting your work?
	compensation for summertime teaching or research)		Mark (X) all that apply
	•		₁ ☐ Agency for International Development (AID)
	IF NOT SALARIED: Please estimate your earned income, excluding business expenses		₂ Agriculture Department
			₃ Commerce Department
	\$00 Basic Annual Salary/Earned Income		₄ ☐ Defense Department (DOD)
			 Department of Education (include NCES, OERI, FIPSE, FIRST)
			₆ ☐ Energy Department (DOE)
			₇ Environmental Protection Agency (EPA)
A44.	During a typical week on this job, how many hours did you usually work?		
			₉ ☐ Interior Department
	NUMBER OF HOURS PER WEEK		no ☐ National Aeronautics and Space Administration (NASA)
			11 National Institutes of Health (NIH)
			₁₂ National Science Foundation (NSF)
A45.	Including paid vacation and paid sick leave,		₁₃ Transportation Department (DOT)
	upon how many weeks per year was your salary based?		14 Other - Specify
	NUMBER OF WEEKS PER YEAR ————		15 DON'T KNOW SOURCE AGENCY
A46.	During the week of April 15, 1997, was any of your work on this job supported by CONTRACTS OR GRANTS from the U.S. government?	A48.	How would you rate your overall satisfaction with your principal job during the week of April 15th?
	FEDERAL EMPLOYEES: Please answer "No"		Mark (X) ONLY one
	Mark (X) ONLY one		₁ ☐ Very satisfied
	1 Yes → <i>GO to A47</i>		Somewhat satisfied Somewhat dissatisfied
	2 ☐ No———————————————————————————————————		₃
	3 Don't Know — SKIP to A46		4 L. Very dissausifed

A49.	During the week of April 15, 1997, were you working for pay (or profit) at a second job (or business), including part-time, evening,		he next few questions ask about your work for ay (or profit) in 1996.
	or weekend work?	A53.	Turning to 1996, including paid vacation and
	_		paid sick leave, how many weeks did you work in 1996?
			0 ☐ ← MARK (X) THIS BOX IF NONE AND SKIP TO A56
*			NUMBER OF WEEKS WORKED
A50.	(IF YES) What kind of work were you doing at your second job during the week of April15—that is, what was your occupation? Please be as specific as possible, including any area of specialization	A54.	During the weeks you worked in 1996, how many hours a week did you usually work?
	IF YOU HAD MORE THAN TWO JOBS THAT WEEK: Answer for the job where you worked the second most hours		nouis a week did you usualiy work!
	the Job Where you worked the Second most nodis		NUMBER OF HOURS WORKED
A51.	Using the JOB CODES LIST (pages 20-21) choose the code that BEST describes the work you were doing on your second job during the week of April 15.	A55.	Counting all jobs held in 1996, what was your TOTAL EARNED income for 1996, BEFORE deductions? Include all wages, salaries, bonuses, overtime, commissions, consulting fees, net income from businesses, summertime teaching or research, postdoctoral appointment, or other work associated with scholarships TOTAL 1996 EARNED INCOME 00
	CODE		0 ☐ ← MARK (X) THIS BOX IF YOU HAD NO EARNED INCOME IN 1996
	NOTE - Job codes range from 010 to 500		
A52.	To what extent was your work on this second job related to your (first U.S.) doctoral degree? Was it	A56.	What was your total HOUSEHOLD income before deductions for 1996? In addition to any income listed in A55, please include income from such sources as dividends, interest, social security, pensions, and income earned from your spouse.
	Mark (X) ONLY one Closely related		TOTAL 1996 HOUSEHOLD INCOME \$00
	Somewhat relatedNot related		□ ← MARK (X) THIS BOX IF YOU HAD NO HOUSEHOLD INCOME IN 1996

PART B - Past Employment

PART C - Other Work and Career Related

		C1.	
The next few questions will help us better understand employment changes over time.			How concerned are you that you might lose your job in the next 12 months?
			Mark (X) ONLY one
B1.	Were you working for pay (or profit) during BOTH		₁ Very concerned
	of these time periods—the week of April 15, 1995 AND the week of April 15, 1997?		₂ Somewhat concerned
	IF YOU WERE A STUDENT: Do NOT count financial aid awards with no work requirement		₃ Not very concerned
_	_₁□ Yes		
	2 No → SKIP to C1		
∀ B2.	(IF YES) During these two time periodsthe week of April 15, 1995, and the week of April 15, 1997were you working for	C2.	How concerned are you that someone in your household, other than you, might lose their
	Mark (X) ONLY one		job in the next 12 months?
	₁ ☐ Same employer AND same job → SKIP to C1		
	_₂ ☐ Same employer BUT different job		
	₃ ☐ Different employer BUT same job		
	─₄ ☐ Different employer AND different job	ļ	Mark (X) ONLY one
\			₁ Very concerned
B3.	(IF DIFFERENT) Why did you change your employer or your job?		₂ Somewhat concerned
	Mark (X) Yes or No for each YES NO ↓ ↓		₃ Not very concerned
	1. Pay, promotion opportunities		
	2. Working conditions (e.g., hours, equipment, working environment) 1 2 2		
	3. Job location 1 2 2		
	4. Change in career or professional interests		
	5. Family-related reasons (e.g., children, spouse's job moved)	C3.	Have you ever been offered a buy-out or what is often called "early retirement"—that is, a cash
	6. School-related reasons (e.g., returned to school, completed a degree) ₁ □ ₂ □		settlement to induce employees to voluntarily give up a job?
	7. Laid off or job terminated (includes company closings, mergers, buyouts or grant or contract ended)		Mark (X) ONLY one ₁ ☐ Yes, and accepted the offer
	8. Retired 1 2		₂ ☐ Yes, but did not accept the offer
	9. Other reason - Specify		₃ No
	1 🔲 2 🗆		

C4.	hav em res	ce completing your (first) bachelor's degree, we you ever lost or left a job because your ployer closed, moved or underwent structuring, downsizing or major layoffs? RK "YES": If a partnership or self-employed siness closed for economic reasons	C7.	From the time you actively began your search, about how many months did it take to find a new job? Answer for most recent occurrence o — MARK (X) THIS BOX IF YOU HAVE NOT FOUND ANOTHER JOB AND SKIP TO C9
	. —] Yes] No <i>→ SKIP to C</i> 9		NUMBER OF MONTHS (Enter "0" if less than one month)
Y			C8.	Compared to the job you had, did your new job offer you significantly more, about the same, or significantly less in terms of:
C5.	rea	LOST OR LEFT JOB) For which of the following sons did you lose or leave that job (or jobs)? rk (X) Yes or No for each YES NO		About Significantly the Significantly More Same Less
		Your self-operated business		a. Salary
		ended 1 2 2		b. Level of
	٤.	agency where you worked closed down		responsibility 1
	3.	Your company or the facility or agency where you worked moved to another location		knowledge or skills 1
	4.	The work or services of your company or the facility or agency where you worked was reorganized or restructured	C9.	If you had the chance to do it over again, knowing what you do now, how likely is it that you would choose the same field of study for your highest degree?
	5.	Your company or the facility or agency where you worked was		₁ ☐ Very likely
		taken over by another organization 1 2		₂ ☐ Somewhat likely
	6.	Your company or the facility or agency where you worked had insufficient business, revenue or work		₃
	7.	Some other reason - Specify	C10.	During the past year, did you attend any professional society or association meetings or professional conferences? Include regional, national, or international meetings
				₁
C6.	mo	what year did you lose or leave that jobif fre than one, please answer for the most tent occurrence. Year 19	C11.	To how many national or international professional societies or associations do you currently belong? Number OR □ NONE

During the past year, did you attend any V activities? Do NOT include college courses	NORK-R :-these	RELATE will be	D wo	o <mark>rkshops, seminar</mark> ssed in PART D, pa	s, or o	ther work-ı	related training
Do NOT include professional meetings unles meeting/conference	s you at	tended	a spe	cial training sessio	n cond	ucted at the	
-ı□ Yes							
2 No → SKIP to D1, page 13							
(IF YES) During the past year, in which of seminars, or other work-related training follow-up questions.	of the fo	ollowing es? In 1	j area hose	as did you attend v areas marked "yo	work-r es," pl	elated worl ease answe	kshops, er the
Types of Work-Related Training				A Record Total	Did y for a	ou pay any of	<u>C</u> Number of
or Any Training Marked "Yes": Answer A-C				in Training			Training Days You Paid For
	↓	YES ↓			NO ↓	YES J	
1. Management or supervisor training	2	1 🗆	→		2	1 □ →	
2. Training in your occupational field	2	1 🔲	→		2	1 □ →	
General professional training (e.g., public speaking, business writing)	2 🗌	1 🗌	→		2 🔲	1 □ →	
4. Other work-related training - Specify							
	2	1 🗌	→		2	1 □ →	
For which of the following reasons did you	ı attend	trainine	ı acti	vities during the r	oast ve	ear?	
		•		,		YES	NO
To facilitate a change in your occupational	field				• • • • • •	₁□	↓ 2 □
							2 🗆
							2 🔲
							2 🗍
							2
7. Other - Specify							2 🗀
				——————————————————————————————————————		_ 1 🗆	2 🔲
What was your most important reason for a Enter number of appropriate reason from C14	attendin above	g traini	ng ad	tivities?			
MOST IMPORTANT REASON FROM C14							
	Do NOT include professional meetings unless meeting/conference 1 Yes 2 No → SKIP to D1, page 13 (IF YES) During the past year, in which seminars, or other work-related training follow-up questions. Types of Work-Related Training For Any Training Marked "Yes": Answer A-C 1. Management or supervisor training 2. Training in your occupational field 3. General professional training (e.g., public speaking, business writing) 4. Other work-related training - Specify For which of the following reasons did you Mark (X) Yes or No for each 1. To facilitate a change in your occupational 2. To gain FURTHER skills or knowledge in y 3. For licensure/certification	Do NOT include professional meetings unless you at meeting/conference 1	Do NOT include professional meetings unless you attended meeting/conference 1	Do NOT include professional meetings unless you attended a spe meeting/conference	activities? Do NOT include college courses - these will be discussed in PART D, put Do NOT include professional meetings unless you attended a special training session meeting/conference	activities? Do NOT include professional meetings unless you attended a special training session cond meeting/conference	Comparison Com

	PART D - Background Information	D4.	Between April 1995 and April 1997, did you complete a degree or certificate?
			_₁ ☐ Yes
D1.	Between April 1995 and April 1997, did you take any college or university courses or enroll in a college or university for other reasons, such as completing a Master's or PhD?		2 No → SKIP to D7, page 14
	- i ☐ Yes ₂ ☐ No → <i>SKIP to E1, page 14</i>		
Y		D4a.	(IF YES) What degree or certificate did you receive? From D3 enter the number of appropriate TYPE OF DEGREE/CERTIFICATE received
D2.	(IF YES) In which college or university department were you primarily taking classes or doing research, etc. (e.g., English, chemistry)?	:	TYPE OF DEGREE/ CERTIFICATE FROM D3
	DEPARTMENT		
D3.	During that time, toward what degree or certificate, if any, were you (or are you) working?	D5.	In what month and year was this degree or certificate awarded?
	0 ☐ ← MARK (X) THIS BOX IF NO SPECIFIC DEGREE OR CERTIFICATE AND SKIP TO D7, PAGE 14		IF YOU COMPLETED MORE THAN ONE: Enter the date for the highest degree or certificate awarded
	IF MORE THAN ONE APPLIES: Mark the highest level		Month Year
	Mark (X) ONLY one		19
	₁ ☐ Bachelor's degree		
	2 Post baccalaureate certificate		
	3 Master's degree (including MBA)		
	4 Post master's certificate		
	5 Doctorate (e.g., Ph.D., D.S.C, D.Sc., Ed.D.)		
	6 ☐ Other professional degree (e.g., JD, LLB, ThD, MD, DDS) - Specify ☐	D6.	From which academic institution did you receive this degree or certificate?
	7 Other - Specify		School name
	·		City/Town
			State/Foreign Country

D7.	What was your primary field of study during that time?	PART E - Recent Doctorate Recipients
	PRIMARY FIELD OF STUDY	E1. Did you receive your (first U.S.) doctoral degree any time between June 1990 and June 1996?
D8.	For which of the following reasons were you taking classes or enrolled between April 1995 and April 1997?	The next questions are about the initial career experiences of recent doctorate recipients. The degree we are referring to is the first U.S. doctorate.
	Mark (X) Yes or No for each YES NO	
	1. To gain further education before beginning a career 1 2	E2. Thinking back to when you <i>began</i> your doctoral program, what kind of work did you want to do after completing your doctorate?
	2. To prepare for graduate school 1 2	Mark (X) Yes or No for each YES NO
	3. To change your academic or occupational field	1. Teaching
	4. To gain FURTHER skills or knowledge in your academic or occupational field	2. Research
	5. For licensure/certification	
	6. To increase opportunities for promotion, advancement, or higher salary	4. Professional practice
	7. Required or expected by employer 1 \square 2 \square	
	 8. For leisure/personal interest	E3. When you <i>began</i> your doctoral program, in what type of employment setting did you MOST want to work upon completing your doctorate?
		Mark (X) ONLY one 1 ☐ College or university
D9.	Were ANY of your school-related costs for taking college or university courses during this time paid for by an employer?	Business or industry Government Nonprofit organization Self-employed Elementary or secondary school Other - Specify

E4.	How did you think a doctoral degree would help your career? Did you think it would help you	E8.	When	did you	begin w	orking on	that job?	was It
				Prior to w		n your		
	Mark (X) ONLY one ₁ ☐ Begin your first career			doctorate		orkin-	→ SKIP	
	2 Further a career you had already started			While your o			ра	ge 16
	Change careers			After con				
	4 (Help) in ways not related to your career			doctorate		•		
	(10,0), 10,0							
E5.	At the time you completed your doctorate, among those with your training and experience, would	V						
	you say the	E9.				l, has or v mited by .	vas your s	earch
	a. Job market for postdocs was		iorac	агеег ра	tii job iii	illited by .	••	
	₁ ☐ Excellent				Mark	(X) ONLY	one for ea	ch item
							Not	
	3 Fair				A Great	Some-	Much or Not	Not Appli-
	4 Very poor				Deal	what	At All	cable
	5 Don't know or not applicable		amily esponsi	bilities .	. 1	2 🗀	з 🔲	4 🗆
	b. Job market for positions other than postdocs	2. 8	Spouse's	6				
	was	ł –	employm	r nent	. 1 🗆	2	з 🗌	4 🗌
	1 Excellent		Debt bur					
	2 Good		rom und graduate					
	3 Fair		graduate	;	_	_		
	4 ☐ Very poor	l c	degrees		. 1 📙	2 🗌	з 🗌	4
	5 Don't know or not applicable	r		not or move of job	. 1 🗆	2 🔲	з 🔲	4 🔲
E6.	Between completing your doctorate and the week	. .	Suitable	iob				
	of April 15, have you held or accepted what you consider to be a "career path" job?			able	. 1 🗆	2	з 🗌	4 🗆
	A "career path" job is a job that will help you in your future career plans or a job in the field in which you want to make your career	6. (Other - S	Specify—	· 1 🗆	2 🗆	з 🗆	4
	1 Yes, held a career path job → SKIP to E8							
	² Yes, accepted but not begun → SKIP to E9					-		
	_{−3} No, neither held nor accepted							
E7.	Since completing your doctorate and the week of April 15, have you sought a "career path" job?							
	1 Yes → <i>SKIP to E9</i>							
	2 No → SKIP to E18, page 17							

E10.	Which of the following resources did you use seeking or finding your first career path job a receiving your doctorate?	e for lfter	E12. How many months elapsed between the time you completed your doctorate and the time you accepted your first career path job?	
	If you have not yet obtained a career path job, pl indicate the sources used in your job search	lease	IF YOUR CAREER PATH JOB BEGAN WHILE YOU WERE COMPLETING OR WITHIN ONE MONTH OF RECEIVING YOUR DOCTORAL DEGREE: <i>Enter "0"</i>	
	Mark (X) Yes or No for each YES ↓	NO ↓		
	1. Faculty or advisors 1	2	NUMBER OF MONTHS → SKIP to E1	4
	2. Professional recruiters such as "head hunters"	2 🗌		
	3. College or department placement office	2 🗆	E13. How did completing your doctoral degree affection following consenses of the tiph way held?	t
	4. Professional meetings	2	the following aspects of that job you held?	
	5. Electronic postings	2 🗌	Mark (X) ONLY one for each item	
	6. Newspapers	2	Not A Muci	
	7. Professional journals 1	2	Great Some- or No Deal what At Al	ot
	8. Informal channels through colleagues or friends	2	1. Salary level	
	9. Direct contacts you initiated with company (e.g., sent		2. Level of responsibility 1 2 2 3	ı
	unsolicited vita)	2	3. Job security	
	10. Other - Specify		4. Degree of interesting or rewarding work	
	1	2	5. Degree of technically demanding work	
			6. Management activities expected 1	
			7. Other - Specify	
	Which TWO resources in E10 were most responsible for finding your first career path job? Enter number of appropriate resource from E10 above		1 2 3	
	○ ☐ - MARK (X) THIS BOX IF YOU HAVE NOT HELD OR ACCEPTED A CAREER PATH JOB SINCE RECEIVIN YOUR DOCTORATE AND SKIP TO E18, PAGE 17	G	E14. Were you still holding this first career path job during the week of April 15, 1997?	
	MOST important resource SECOND MOST important reso (Enter "0" if no second resource		Yes → SKIP to E18, page 17 No, changed jobs No, not employed during the week of April 15 GO to E15 page 17	,

E15.	Thinking about the relationship between your work and your education, to what extent was your work on your first career path job related to your doctoral degree field?	E18. In terms of preparing you for a career, how adequate was your doctoral program or training in each of the following areas?	
	Mark (X) ONLY one	Mark (X) ONLY one for each	
	Closely related Somewhat related Not related Not related	Some- Very what Not Not Ade- Ade- Ade- Appli- quate quate cable	
	3	1. General problem solving skills 1	
\		2. Subject matter knowledge	
E16.	(IF NOT RELATED) Did any of these factors influence your decision to work in an area outside your doctoral degree field?	3. Oral communication skills	
	outside your doctoral degree held?	4. Teaching skills 1	
	Mark (X) Yes or No for each YES NO ↓ ↓	5. Collaboration and team work skills 1	
	1. Pay or promotion opportunities 1	6. Quantitative skills 1	
	2. Working conditions (e.g., hours,	7. Writing skills 1 2 3 4 4	
	equipment, working environment) 1	8. Computer skills 1	
	3. Job location	9. Research integrity/ ethics	
	4. Change in career or professional interests	10. Establishing contacts with colleagues in field	
·	5. Family-related reasons (e.g., children, spouse's job moved)	11. Management or administrative skills	
	 6. Job in doctoral field not available 1	E19. In which TWO areas in E18 would you have liked to have had more training or emphasis in your doctoral program?	
E17.	Which TWO factors in E16 represent your MOST important reasons for working in an area outside your doctoral degree field? Enter number of appropriate factor from E16 above	2 SECOND area (Enter "0" if no second area) E20. Overall, how satisfied are you with the doctoral program you completed? Mark (X) ONLY one 1 Uery satisfied	
	2 MOST important reason SECOND MOST important reason (Enter "0" if no second reason)	Somewhat satisfied Somewhat dissatisfied Very dissatisfied	

	PART F - Demographic Information	F5.	(IF YES) How many of these children living with you as part of your family were
			IF NO CHILDREN IN A CATEGORY: Enter "0"
F1.	As of the week of April 15 were you		Number of Children
Г	Mark (X) ONLY one -₁ ☐ Married		1. Under age 2
	2 Widowed ———		2. Aged 2-5
	3 ☐ Separated 4 ☐ Divorced SKIP to F4	İ	3. Aged 6-11
	₅ Never Married ———		4. Aged 12-17
*			5. Aged 18 or older
F2.	(IF MARRIED) During the week of April 15, was your spouse working for pay (or profit) at a full-time or part-time job?	F6.	During the week of April 15, 1997, were you living in the United States or one of its territories, or were you living in another country?
	−₁		□ United States or one of its territories
	_2		2 Another country
*		F7.	As of the week of April 15, 1997 were you a
F3.	(IF YES) Did your spouse's duties on this job require the technical expertise of a bachelor's degree or higher in		Mark (X) ONLY one U.S. Citizen
	Mark (X) Yes or No for each YES NO L L		1 ☐ Native Born 2 ☐ Naturalized → SKIP to F9
	1. Engineering, computer science, math or the natural sciences,		Non-U.S. Citizen
	2. The social sciences, 1 2		-₃ ☐ With a Permanent U.S. Resident Visa ₄ ☐ With a Temporary U.S. Resident Visa
	 Some other field (e.g., health or business) - Specify 		-5 ☐ Living outside the United States
	1 2	V	
		F8.	(IF NON-U.S. CITIZEN) Of which country are you a citizen?
F4.	During the week of April 15, did you have any children living with you as part of your family?		COUNTRY
	Only count children who lived with you at least 50 percent of the time	F9.	What is your birthdate?
	1 Yes → GO to F5		Month Day Year
	2 No → SKIP to F6		19

				MARK (>	() ONE FOR	EACH
1	. SEEING words or letters in ordinary newsprint	None ↓	Slight	Moderate ↓	Severe ↓	Unable to Do ↓
	(with glasses/contact lenses if you usually wear them)	1 🗆	2 🔲	з 🔲	4 🔲	5 🗌
2	. HEARING what is normally said in conversation with another person (with hearing aid, if you					
	usually wear one)	1 🗆	2	з 🔲	4 🔲	5 🗌
3	. WALKING without human or mechanical assistance or using stairs	1 🗆	2	з 🗌	4 🗆	5 🔲
4	LIFTING or carrying something as heavy as 10 pounds, such as a bag of groceries	1 🗌	2 🔲	з	4	5 🔲
۱.	0 - MARK (X) THIS BOX IF YOU ANSWERED "NONE" TO ALI	_ ACTIVIT	IES IN F10 AI	ND SKIP TO F13		
2.	What is the earliest age at which you FIRST began	n experi	encing AN	Y difficulties	in ANY of t	these areas?
	AGE OR □ SINCE BIRTH					
	AGE OR TO SINGE BIRTH					
3.	In case we need to clarify some of the information e-mail address if applicable) where you can be rea		ve provide	ed, please lis	t a phone n	umber (and an
3.	In case we need to clarify some of the information		Ve provido E-mail Addro		t a phone n	umber (and an
3.	In case we need to clarify some of the information e-mail address if applicable) where you can be read to clarify some of the information e-mail address if applicable) where you can be read to clarify some of the information e-mail address if applicable) where you can be read to clarify some of the information e-mail address if applicable) where you can be read to clarify some of the information e-mail address if applicable) where you can be read to clarify some of the information e-mail address if applicable) where you can be read to clarify some of the information e-mail address if applicable) where you can be read to clarify some of the information e-mail address if applicable) where you can be read to clarify some of the information e-mail address if applicable) where you can be read to clarify some of the information e-mail address if applicable) where you can be read to clarify some of the information e-mail address if applicable in the information e-mail address if applicable in the information e-mail address if applicable in the information e-mail address if a possible in the information e-mail address if a p				t a phone n	umber (and an
3. 4.	In case we need to clarify some of the information e-mail address if applicable) where you can be read address. Area Code Number Daytime Area Code Number	ploymer name, a T INCLU ire, com	E-mail Addre	over time, wand telephone	e may be re number of IVES IN YO	contacting you two people wh UR HOUSEHO
	In case we need to clarify some of the information e-mail address if applicable) where you can be read address if applicable) where you can be reached. Do No as with all the information provided in this questionnal	ploymer name, a T INCLU ire, com n 1999.	E-mail Addre	over time, w nd telephone ONE WHO L dentiality will i	e may be re number of IVES IN YO	contacting you two people wh UR HOUSEHO
	In case we need to clarify some of the information e-mail address if applicable) where you can be read and employed. Since we are interested in how education and employed. To help us contact you, please provide the likely to know where you can be reached. DO NO As with all the information provided in this questionnal and you in the contacted if we have trouble contacting you in	ploymer name, a T INCLU ire, com n 1999. Firs	e-mail Address nt change iddress, and JDE SOME	over time, wond telephone ONE WHO L dentiality will I	e may be re number of IVES IN YO be provided.	contacting you two people wh UR HOUSEHO
	In case we need to clarify some of the information e-mail address if applicable) where you can be read and employed. Since we are interested in how education and employed. To help us contact you, please provide the likely to know where you can be reached. DO NO As with all the information provided in this questionnationly be contacted if we have trouble contacting you in the list of the last Name.	ploymer name, a T INCLU ire, com n 1999. Firs Nur	e-mail Address, and telegraphic some plete confident	over time, wond telephone ONE WHO L dentiality will I	e may be re number of IVES IN YO be provided.	contacting you two people wh UR HOUSEHO
	In case we need to clarify some of the information e-mail address if applicable) where you can be read a code	ploymet name, a T INCLU ire, com n 1999. Firs Nur City	e-mail Address, and JDE SOME plete confident	over time, wond telephone ONE WHO L dentiality will I	e may be re number of IVES IN YOU be provided.	contacting you two people wh UR HOUSEHO These people

The next question is designed to help us better understand the career paths of individuals with different physical abilities.

JOB CODES LIST

This list is ordered ALPHABETICALLY. The titles in bold type are broad job categories. To make sure you have found the BEST code, please review ALL broad categories before making your choice. If you cannot find the code that BEST describes your job, use the "OTHER" code under the most appropriate broad category in bold print. If none of the codes fit your job, use Code 500.

010 Artists, Broadcasters, Editors, Entertainers, Public Relations Specialists, Writers

Biological/Life Scientists

- 021 Agricultural and food scientists
- 022 Biochemists and biophysicists
- 023 Biological scientists (e.g., botanists, ecologists, zoologists)
- 024 Forestry and conservation scientists
- 025 Medical scientists (excluding practitioners)
- 026 Technologists & technicians in the biological/life sciences
- 027 OTHER biological/life scientists

Clerical/Administrative Support

- 031 Accounting clerks, bookkeepers
- 032 Secretaries, receptionists, typists
- 033 OTHER administrative (e.g., record clerks, telephone operators)

040 Clergy & Other Religious Workers

Computer Occupations (Also see 173)

- *** Computer engineers (See 087, 088 under Engineering)
- 051 Computer programmers (business, scientific, process control)
- 052 Computer system analysts
- 053 Computer scientists, except system analysts
- 054 Information systems scientists or analysts
- 055 OTHER computer, information science occupations
- *** Consultants (Select the code that comes closest to your usual area of consulting)
- 070 Counselors, Educational & Vocational (Also see 236)

Engineers, Architects, Surveyors

- 081 Architects
- ** Engineers (Also see 100-103)
 - 082 Aeronautical, aerospace, astronautical engineer
 - 083 Agricultural engineer
 - 084 Bioengineering & biomedical engineer
 - 085 Chemical engineer
 - 086 Civil, including architectural & sanitary engineer

*** Engineers (continued)

- 087 Computer engineer hardware
- 088 Computer engineer software
- 089 Electrical, electronic engineer
- 090 Environmental engineer
- 091 Industrial engineer
- 092 Marine engineer or naval architect engineer
- 093 Materials or metallurgical engineer
- 094 Mechanical engineer
- 095 Mining or geological engineer
- 096 Nuclear engineer
- 097 Petroleum engineer
- 098 Sales engineer
- 099 Other engineer
- *** Engineering Technologists and Technicians
 - 100 Electrical, electronic, industrial, mechanical
 - 101 Drafting occupations, including computer drafting
 - 102 Surveying and mapping
- 103 OTHER engineering technologists and technicians
- 104 Surveyors

110 Farmers, Foresters & Fishermen

Health Occupations

- 111 Diagnosing/Treating Practitioners (e.g., dentists, optometrists, physicians, psychiatrists, podiatrists, surgeons, veterinarians)
- 112 Registered nurses, pharmacists, dieticians, therapists, physician assistants
- 236 Psychologists, including clinical
- 113 Health Technologists & Technicians (e.g., dental hygienists, health record technologist/technicians, licensed practical nurses, medical or laboratory technicians, radiologic technologists/technicians)
- 114 OTHER health occupations
- 120 Lawyers, Judges
- 130 Librarians, Archivists, Curators

Managers, Executives, Administrators

(Also see 151-153)

- 141 Top and mid-level managers, executives, administrators (people who manage other managers)
- *** All other managers, including the self-employed Select the code that comes closest to the field you manage

JOB CODES LIST - Continued

Management-Related Occupations (Also see 141)

- 151 Accountants, auditors, and other financial specialists
- 152 Personnel, training, and labor relations specialists
- 153 OTHER management related occupations

Mathematical Scientists

- 171 Actuaries
- 172 Mathematicians
- 173 Operations research analysts, modeling
- 174 Statisticians
- 175 Technologists and technicians in the mathematical sciences
- 176 OTHER mathematical scientists

Physical Scientists

- 191 Astronomers
- 192 Atmospheric and space scientists
- 193 Chemists, except biochemists
- 194 Geologists, including earth scientists
- 195 Oceanographers
- 196 Physicists
- 197 Technologists and technicians in the physical sciences
- 198 OTHER physical scientists

Research Associates/Assistants

(Select the code that comes closest to your field)

Sales and Marketing

- 200 Insurance, securities, real estate, & business services
- 201 Sales Occupations Commodities Except Retail (e.g., industrial machinery/equipment/supplies, medical and dental equip/supplies)
- 202 Sales Occupations Retail
 - (e.g., furnishings, clothing, motor vehicles, cosmetics)
- 203 OTHER marketing and sales occupations

Service Occupations, Except Health (Also see 111-114)

- 221 Food Preparation and Service (e.g., cooks, waitresses, bartenders)
- 222 Protective services (e.g., fire fighters, police, guards)
- 223 OTHER service occupations, except health

Social Scientists

- 231 Anthropologists
- 232 Economists
- 233 Historians, science and technology
- 234 Historians, except science and technology
- 235 Political scientists
- 236 Psychologists, including clinical (Also see 070)
- 237 Sociologists
- 238 OTHER social scientist

240 Social Workers

Teachers/Professors

- 251 Pre-Kindergarten and kindergarten
- 252 Elementary
- 253 Secondary computer, math, or sciences
- 254 Secondary social sciences255 Secondary other subjects
- 256 Special education primary and secondary
- 257 OTHER precollegiate area

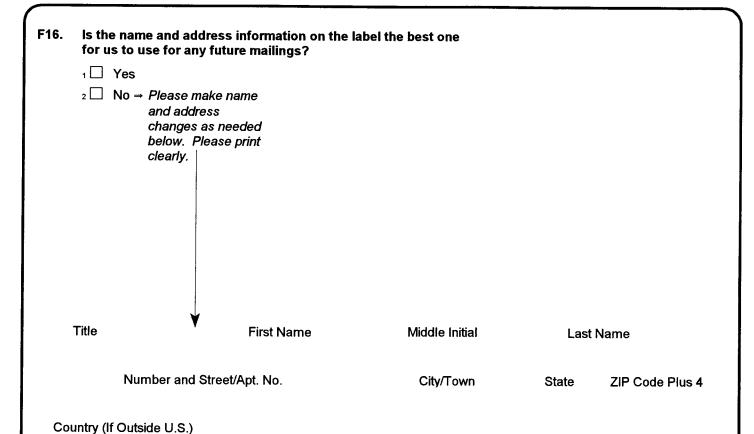
Postsecondary

- 271 Agriculture
- 272 Art, Drama, and Music
- 273 Biological Sciences
- 274 Business Commerce and Marketing
- 275 Chemistry
- 276 Computer Science
- 277 Earth, Environmental, and Marine Science
- 278 Economics
- 279 Education
- 280 Engineering
- 281 English
- 282 Foreign Language
- 283 History
- 284 Home Economics
- 285 Law
- 286 Mathematical Sciences
- 287 Medical Science
- 288 Physical Education
- 289 Physics
- 290 Political Science
- 291 Psychology
- 292 Social Work
- 293 Sociology
- 294 Theology
- 295 Trade and Industrial
- 296 OTHER health specialties
- 297 OTHER natural sciences
- 298 OTHER social sciences
- 299 OTHER Postsecondary

Other Professions

- 401 Construction trades, miners & well drillers
- 402 Mechanics and repairers
- 403 Precision/production occupations
 - (e.g., metal workers, woodworkers, butchers, bakers, printing occupations, tailors, shoemakers, photographic process)
- 404 Operators and related occupations
 - (e.g., machine set-up, machine operators and tenders, fabricators, assemblers)
- 405 Transportation/material moving occupations

500 OTHER OCCUPATIONS (Not Listed)



THANK YOU FOR COMPLETING THE

QUESTIONNAIRE

Please return the completed form in the envelope provided. If you lose the envelope and want another, call 1-800-327-7508. Our address is:

Survey of Doctorate Recipients
National Opinion Research Center
at the University of Chicago
1525 East 55th Street
Chicago, IL 60615